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INFORMATION DISCLOSURE STATEMENT Examining Group 1714 Patent Application Docket No. UF-266X Serial No. 10/067,495

Frank C. Eisenschenk, Ph.D., Patent Attorney

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

(Unassigned)

Art Unit

1714

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Applicants

Benjamin A. Horenstein and Hongbin Sun

AUG 2 3 2002

Serial No.

10/067,495

TC 1700

Filed

February 4, 2002

Conf. No.

7011

For

INHIBITORS OF GLYCOSYLTRANSFERASE ENZYMES

Assistant Commissioner for Patents Washington, D.C. 20231

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98

Sir:

In accordance with 37 C.F.R. § 1.56, the references on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

The undersigned hereby certifies that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

This information is being submitted prior to the mailing of a first office action on the merits.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks. If any additional fee is required, or to credit any overpayment, please use Deposit Account No. 19-0065.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.56, 1.97, and 1.98 are met by the foregoing statements.

Respectfully submitted,

Frank C. Eisenschenk, Ph.D.

Patent Attorney

Registration No. 45,332

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Attachments: Form PTO/SB/08A & B (2 pages)

Copies of references cited (23 - 2 U.S. Patents + 21 articles)

PTO/SB/08A (10-01)

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/067,495 INFORMATION DISCLOSURE Filing Date February 4, 2002 STATEMENT BY APPLICANT **First Named Inventor** Horenstein (use as many sheets as necessary) **Art Unit** 1714 **Examiner Name** Unknown of 3 **Attorney Docket Number** 1 Sheet **UF-266X** 

|                       | _             |  | U.S. PATENT D                  | OCUMENTS  |   |
|-----------------------|---------------|--|--------------------------------|---|---|
| Examiner<br>Initials* | Cite<br>No. 1 | Document Number Number - Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | U1            | US-4,235,921   | 11-25-1980                     | Achini et al.                                   | All   |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Country Code 3 - Number 4 - Kind Code 5 (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures Appear | T⁰      |
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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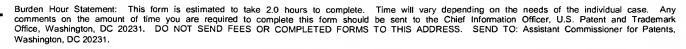
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| Attorney Docket Number | UF-266X          |                                   |
| Examiner Name          | Unknown          | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |
| Group Art Unit         | 1714             | ^                                 |
| First Named Inventor   | Horenstein       |                                   |
| Filing Date            | February 4, 2002 | O A                               |
| Application Number     | 10/067,495       |                                   |
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|                       |               | NON PATENT LITERATURE DOCUMENTS   | <del>)                                    </del> |
| Examiner<br>Initials* | Cite<br>No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | T <sup>2</sup>                                   |
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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| Application Number     | 10/067,495       |  |
| Filing Date            | February 4, 2002 |  |
| First Named Inventor   | Horenstein       |  |
| Group Art Unit         | 1714             |  |
| Examiner Name          | Unknown          |  |
| Attorney Docket Number | UF-266X          |  |

|                       |               | NON PATENT LITERATURE DOCUMENTS  |                |
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| Examiner<br>Initials* | Cite<br>No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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